

AF 2121  
Nw



Attorney Docket No.: 12959/3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : G. Colby CONKWRIGHT et al.  
SERIAL NO. : 10/020,512  
FILED : December 18, 2001  
FOR : PRIVACY COMPLIANT MULTIPLE DATASET  
CORRELATION AND CONTENT DELIVERY  
SYSTEM AND METHOD  
GROUP ART UNIT : 2121  
EXAMINER : Donald CHAMPAGNE

M/S: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Date: January 3, 2005	Signature <u>Barbara Vance</u> Barbara Vance

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Submitted herewith is an Information Disclosure Statement under 37 CFR §1.97(c) with fifty-nine (59) reference(s) listed on the attached PTO-1449 (modified) form. These references have been cited in Office Actions for divisional applications and continuations-in-part for this application.

Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this

application, and that the reference(s) be made of record therein and appear among the  
“References Cited” on any patent to issue therefrom.

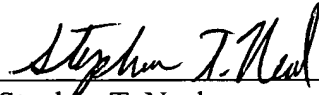
This Information Disclosure Statement is being filed after three months of the filing date  
of the above-identified application or after the mailing date of the first Office Action on the  
merits, which event occurred last but before the mailing date of either a final action under §1.113  
or a notice of allowance under §1.311, whichever occurs first.

If any fee is required, the Office is hereby authorized to charge any fees, or credit any  
overpayments under 37 CFR §1.17(p) to Kenyon & Kenyon, Deposit Account No. **11-0600**.

Respectfully submitted,

KENYON & KENYON

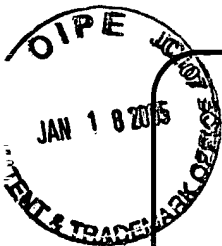
Dated: January 3, 2005

By:   
Stephen T. Neal  
(Reg. No. 47,815)

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Application Number		10/020,512
Filing Date		December 18, 2001
First Named Inventor		G. Colby CONKWRIGHT et al.
Art Unit		2121
Examiner Name		Donald CHAMPAGNE
Total Number of Pages in this Submission	8	Attorney Docket Number 12959/3

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>1. PTO-1449 form</b> <b>2. (59) references not included in page count</b> <b>3. Postcard</b>
<div style="border: 1px solid black; padding: 5px;">Remarks</div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	Kenyon & Kenyon		
Signature			
Printed Name	Stephen T. Neal		
Date	January 3, 2005	Reg. No.	47,815

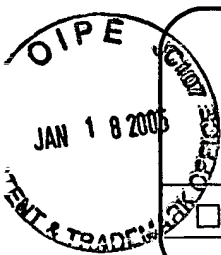
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Signature			
Typed or printed name	Barbara Vance	Date	January 3, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$) **180.00**

## Complete if Known

Application Number **10/020,512**  
 Filing Date **December 18, 2001**  
 First Named Inventor **G. Colby CONKWRIGHT et al.**  
 Examiner Name **Donald CHAMPAGNE**  
 Art Unit **2121**  
 Attorney Docket No. **12959/3**

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
 Order
☒ Deposit Account:Deposit  
Account  
Number**11-0600**Deposit  
Account  
Name**Kenyon & Kenyon**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or any underpayment of fee(s)  
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 to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					<b>(\$)</b> 0

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
-20 **	=	50.00	=
Independent Claims	-3 **	=	200.00
Multiple Dependent	X		

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	44	Independent claims in excess of 3	
1203	300	2203	150	Multiple dependent claim, if not paid	
1204	88	2204	44	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) **(\$)** 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	<b>180.00</b>
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)** 180.00

## SUBMITTED BY

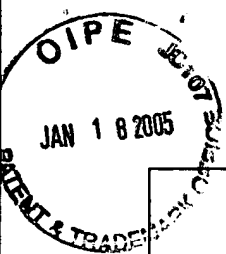
## Complete (if applicable)

Name (Print/Type) **Stephen T. Neal** Registration No. (Attorney/Agent) **47,815** Telephone **(408) 975-7500**  
 Signature *Stephen T. Neal* Date **January 3, 2005**

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<b>Form PTO-1449 (modified)</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT</b>	<b>Attorney Docket No.</b> 12959/3	<b>Serial No.</b> 10/020,512
	<b>Applicant</b> G. Colby CONKWRIGHT et al.	
	<b>Filing Date</b> December 18, 2001	<b>Group Art Unit</b> 2121

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	2,712,976	July 12, 1955	Blaustein et al.	346	33	December 3, 1953
	3,126,513	March 24, 1964	Kamen	325	31	December 21, 1961
	3,524,015	August 11, 1970	Campbell	178	6	August 31, 1966
	3,693,090	September 19, 1972	Gabriel	325	308	August 8, 1969
	4,107,735	August 15, 1978	Frohbach	358	84	April 19, 1977
	4,769,697	September 6, 1988	Gilley et al.	358	84	December 17, 1986
	4,967,401	October 30, 1990	Barney	367	46	July 24, 1989
	5,036,389	July 30, 1991	Morales	358	84	July 14, 1989
	5,101,267	March 31, 1992	Morales-Garza	358	84	August 7, 1989
	5,226,177	July 6, 1993	Nickerson	455	2	August 3, 1992
	5,227,874	July 13, 1993	Von Kohorn	358	84	October 15, 1991
	5,446,919	August 29, 1995	Wilkins	455	6.2	October 9, 1991
	5,508,731	April 16, 1996	Kohorn	348	1	February 25, 1993
	5,515,270	May 7, 1996	Weinblatt	364	405	January 12, 1995
	5,550,928	August 27, 1996	Lu et al.	382	116	December 15, 1992
	5,594,934	January 14, 1997	Lu et al.	455	2	September 21, 1994
	5,721,583	February 24, 1998	Harada et al.	348	12	November 26, 1996
	5,757,417	May 26, 1998	Aras et al.	348	10	September 23, 1997
	5,759,101	June 2, 1998	Von Kohorn	463	40	April 11, 1994
	5,771,307	June 23, 1998	Lu et al.	382	116	December 21, 1995
	5,842,199	November 24, 1998	Miller et al.	707	2	October 18, 1996
	5,915,243	June 22, 1999	Smolen	705	14	August 29, 1996
	5,956,693	September 21, 1999	Geerlings	705	14	July 19, 1996
	5,973,683	October 26, 1999	Cragun et al.	345	327	November 24, 1997
	5,983,214	November 9, 1999	Lang et al.	707	1	November 5, 1998
	6,020,883	February 1, 2000	Herz et al.	345	327	February 23, 1998
	6,029,045	February 22, 2000	Picco et al.	455	5.1	December 9, 1997
	6,029,176	February 22, 2000	Cannon	707	104	November 25, 1997
	6,055,491	April 25, 2000	Biliris et al.	702	176	October 17, 1997
	6,070,147	May 30, 2000	Harms et al.	705	14	July 2, 1996
	6,088,722	July 11, 2000	Herz et al.	709	217	November 29, 1995
	6,202,210	March 13, 2001	Ludtke	725	20	August 21, 1998
	6,286,005	September 4, 2001	Cannon	707	100	March 11, 1998
	6,286,140	September 4, 2001	Ivanyi	725	14	November 20, 1997
	6,289,514	September 11, 2001	Link et al.	725	14	March 29, 1999
	6,317,881	November 13, 2001	Shah-Nazaroff et al.	725	24	November 4, 1998
	6,327,574	December 4, 2001	Kramer et al.	705	14	February 1, 1999
	6,339,842	January 15, 2002	Fernandez et al.	725	133	June 10, 1998
	6,405,370	June 11, 2002	Jarrell	725	14	October 9, 1998
	6,463,585	October 8, 2002	Hendricks et al.	725	35	April 3, 1998
	6,539,391	March 25, 2003	DuMouchel et al.	707	101	August 13, 1999

January 3, 2005

10/020,512

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	2001/0003099	June 7, 2001	Von Kohorn	463	40	June 1, 1998
	2001/0048439	December 6, 2001	Young	345	473	May 29, 2001
	2002/0010620	January 24, 2002	Kowalchuk et al.	705	10	March 12, 2001
	2002/0010919	January 24, 2002	Lu et al.	725	18	September 12, 2001
	2002/0053077	May 2, 2002	Shah-Nazaroff et al.	725	13	August 20, 2001
	2002/0094513	July 18, 2002	Weir et al.	434	350	July 30, 2001
	2002/0138830	September 26, 2002	Nagaoka et al.	725	14	July 26, 2001
	2002/0144259	October 3, 2002	Gutta et al.	725	10	March 29, 2001

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 9960789	13 May 1999	WIPO	H04N 7/16	7/173	X	

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Jakob Nielsen, Bellcore (Moderator); Lynda Hardman, OWL; Anne Nicol, Consultant; Nicole Yankelovich, IRIS; "Panel: The Nielsen Ratings: Hypertext Reviews" ACM Press, New York, NY, USA; pgs. 359-60, December 1991
	William L. Thomas; Nielsen Media Research, Dunedin, FL "Television Audience Research Technology, Today's Systems And Tomorrow's Challenges", IEEE Xplore, Vol. 38, Issue: 3, pgs. xxxix-xlii, August 1992
	Yulia Gel; Andrey Barbabanov, "Convergence Analysis of the Least-Squares Estimates For Infinte AR Models", Mälardalen University; Saint-Petersburg State University, pgs. 1-9
	Maintained by J.C. Evans, " Phys 251 - Introduction to Computer Techniques in Physics Data Analysis Basics," pgs. 1-2, Latest Modification: October 12, 2000
	R.E. White, Undergraduate Computational Engineering and Science, "Overdetermined Systems and Curve Fitting to Data", Copyright 1994, pgs. 1-38
	A.J. Gao, Decision and Control, 1994, Proceedings of the 3 IEEE Conference, Volume 4, "Weighted Least Squares Algorithm For Continuous-time Model," Lake Buena Vista, FL, December 1994, 0-7803-1968-0/94, 1994 IEEE, pgs. 1-4
	Dan Schiller, University of California, San Diego, Publisher ACM Press, New York, NY, USA, ISSN: 1091-3556, 1997, "Internet Television: Net Makeover?" pgs. 1-8
	Catherine Lawson, J. Bert Keats, Douglas C. Montgomery, "Comparison of Robust and Least-Squares Regression in Computer-Generated Probability Plots", IEEE Transactions on Reliability, Vol. 46, No. 1, 1997 March, 0018-9529/97 1997 IEEE, pgs. 1-10
	Rudolf J. Freund, William Wilson, "Regression Analysis - Statistical Modeling of a Response Variable," Copyright 1998 by Academic Press, San Diego, CA

Attorney Docket No.: 12959/3  
January 3, 2005  
10/020,512



EXAMINER	DATE CONSIDERED
<p>EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	